



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
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June 23, 2016

**VIA ECF (COURTESY COPY BY HAND)**

Honorable I. Leo Glasser  
United States Courthouse  
225 Cadman Plaza East  
Brooklyn, NY 11201

Re: *SEC v. Rivard*, 1:15-mc-0221-ILG (E.D.N.Y.)  
*SEC v. Rivard*, 1:04-cv-1464-ILG (E.D.N.Y.)

Dear Judge Glasser:

The undersigned today on behalf of the parties has submitted through ECF for Your Honor's consideration: 1) a proposed stipulated order in 1:04-cv-1464-ILG (E.D.N.Y.) to resolve the contempt for the 2004 Final Judgment regarding Defendant David Rivard failing to make payments on that judgment as set forth in the June 3 Order (DN 20 in that action); and 2) a proposed judgment on consent in 1:15-mc-0221-ILG (E.D.N.Y.), resolving the amount of disgorgement and prejudgment interest Mr. Rivard should be liable for as a result of violating the Commission's 2004 Rule 102(e) Order as set forth in the June 3 Order (DN 27 in that action). We respectfully request that the Court enter the proposed stipulated order and judgment on consent.

Respectfully,

A handwritten signature in black ink, appearing to read "Alexander Vasilescu".

Alexander Vasilescu

cc: John K. Carroll, Esq. and Jocelyn Strauber, Esq.